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| FORM PTO-1390<br>MODIFIED   | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER<br><b>36-1883</b>  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |   | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><b>10/521732</b><br>Unknown |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2003/003008</b>   | INTERNATIONAL FILING DATE<br><b>9 July 2003</b>         | PRIORITY DATE CLAIMED<br><b>19 July 2002</b>                                      |
| TITLE OF INVENTION<br><b>METHOD AND SYSTEM FOR CLASSIFICATION OF SEMANTIC CONTENT OF AUDIO/VIDEO DATA</b>   |   |   |
| APPLICANT(S) FOR DO/EO/US<br><b>XU et al</b>  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings,      page Certificate of Translation).</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. a. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 To 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. a. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>b. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. (1) Published PCT application No. WO 2004/010329 with Form PCT/IB/308 and (2)</p> |   |   |
| IDENTIFICATION OF ATTORNEYS TO BE RECOGNIZED  |   |   |

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| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><b>10/4521732</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2003/003008</b>                        |              | ATTORNEY'S DOCKET NUMBER<br><b>36-1883</b> |                              |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |  |              |  |                              |
| <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>   |              |  |              |  |                              |
| <input checked="" type="checkbox"/> a) Basic national fee .....   |              |  |              | \$   | 300.00                       |
| <input checked="" type="checkbox"/> b) Examination Fee .....  |              |  |              | \$   | 200.00                       |
| <input checked="" type="checkbox"/> c) Search Fee .....   |              |  |              | \$   | 500.00                       |
| <b>TOTAL OF ABOVE CALCULATIONS .....</b>  |              |  |              | \$   | 1000.00                      |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |  |              |  |                              |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction thereof<br>(round up to a whole number) |              | RATE                                       |                              |
| -100  | 0            | /50 = 0.00   |              | X \$250.00                                 | \$ 0.00                      |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  |              |  |              |  |                              |
| CLAIMS  |              | NUMBER FILED   | NUMBER EXTRA | RATE                                       |                              |
| Total Claims  | 10           | minus 20 =   | 0            | X \$50.00                                  | \$ 0.00                      |
| Independent Claims  | 4            | minus 3 =  | 1            | X \$200.00                                 | \$ 200.00                    |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)  |              |  |              | \$360.00                                   | \$                           |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$120.00/1 month; \$450.00/2 months; \$1020.00/3 months)   |              |  |              |  | \$ 0.00                      |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |              |  | \$ 1200.00                   |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by one half.   |              |  |              |  |                              |
| <b>SUBTOTAL =</b>   |              |  |              |  | \$ 1200.00                   |
| Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).  |              |  |              |  |                              |
| <b>TOTAL NATIONAL FEE =</b>   |              |  |              |  | \$ 1200.00                   |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property  |              |  |              |  | \$ 40.00                     |
| Fee for Petition to Revive Unintentionally Abandoned Application (\$1500.00 - Small Entity = \$750.00)  |              |  |              |  | \$ 0.00                      |
| <b>TOTAL FEES ENCLOSED =</b>  |              |  |              |  | \$ 1240.00                   |
|   |              |  |              |  | Amount to be:<br>refunded \$ |
|   |              |  |              |  | Charged \$                   |
| <p>a. <input type="checkbox"/> A check in the amount of \$40.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.</p> <p>d. <input checked="" type="checkbox"/> <b>CREDIT CARD PAYMENT FORM ATTACHED.</b></p> <p>e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/GB2003/003008 and any U.S. and foreign application(s) corresponding thereto, and EP 02255067.7, referred to in this application is/are hereby incorporated by reference in this application.</p> <p><b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p><b>CORRESPONDENCE ADDRESS</b><br/>Direct all correspondence to:</p> <p><input checked="" type="checkbox"/> <b>Customer Number:</b> <span style="border: 1px solid black; padding: 5px; display: inline-block; width: 150px; text-align: center;"><b>23117</b></span></p> <p style="text-align: center; font-size: small;">Type Customer Number here</p> <p>Telephone: (703) 816-4000<br/>LSN:lmj</p> <div style="text-align: right; margin-top: 20px;"> <div style="border-top: 1px solid black; width: 150px; margin: 0 auto;"> <div style="display: flex; justify-content: space-between; padding: 0 5px;"> <span>Larry S. Nixon</span> <span>25,640</span> </div> <div style="display: flex; justify-content: space-between; padding: 0 5px;"> <span>NAME</span> <span>REGISTRATION NUMBER</span> </div> <div style="display: flex; justify-content: space-between; padding: 0 5px;"> <span></span> <span>January 18, 2005</span> </div> <div style="display: flex; justify-content: space-between; padding: 0 5px;"> <span></span> <span>Date</span> </div> </div> </div> |              |  |              |  |                              |